



THE CITY OF NEW YORK  
OFFICE OF ADMINISTRATIVE TRIALS AND HEARINGS

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**JOHN W. BURNS**

FIRST DEPUTY COMMISSIONER

SUPERVISING ADMINISTRATIVE LAW JUDGE

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March 26, 2019

OATH Trials Division  
100 Church Street, 12<sup>th</sup> Floor  
New York, NY 10007

RE: Designation to OATH Trials Division to Adjudicate the matter of  
Office of Administrative Trials and Hearings v. Rizwan Raja

In accordance with Charter section 1048 and my prior designation of March 7, 2019, I hereby designate the Office of Administrative Trials and Hearings (OATH) Trials Division to adjudicate the matter of OATH v. Rizwan Raja.

The Supervising Administrative Law Judge of OATH shall assign an Administrative Law Judge to conduct a trial in the aforementioned matter. In the conduct of this administrative proceeding, the assigned Administrative Law Judge shall be authorized to exercise all the powers granted by law, specifically those set forth in Charter section 1049 and in OATH's Rules of Practice contained in Title 48 of the Rules of the City of New York (RCNY). Following review and analysis of the testimony and all other evidence in the proceeding, the assigned Administrative Law Judge shall issue a memorandum decision as the Chief Administrative Law Judge's designee under 48 RCNY Section 6-25, which shall constitute the final determination. This designation to permit the assigned Administrative Law Judge to issue a final determination by memorandum decision in this matter does not constitute a new procedure or as a precedent for future proceedings of discipline for registered representatives.

Very truly yours,

First Deputy Commissioner and  
Supervising Administrative Law Judge